

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: James M. Yates GROUP:

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FILED: 3/4/05

FOR: METHOD AND APPARATUS FOR DIGITAL COPYRIGHT EXCHANGE

St. Louis, Missouri  
February 27, 2007

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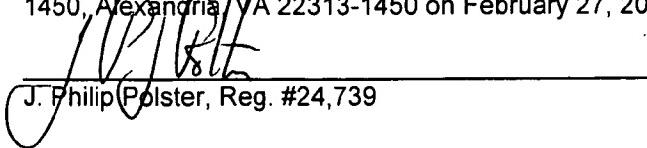
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Sir:

The attached form PTO-1449, listing information, patents and/or literature references, is being submitted within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114. **Therefore, no fee is due.** Copies of any non-US patents or publications is submitted herewith.

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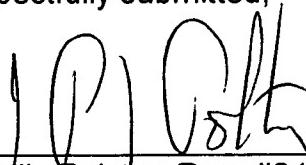
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on February 27, 2007.

  
 J. Philip Polster, Reg. #24,739

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /F.N./

The Commissioner is hereby authorized to charge any additional fees or credit overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account 162201. *Duplicate copies of this sheet are enclosed.*

Respectfully submitted,



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Date: February 27, 2007

Sheet of

<b>FORM PTO-1449</b> U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO.: YATJ 8888W1	SERIAL NO.: 10/591,416 PCT #: US05/07102
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICANT: James M. Yates	
(Use several sheets if necessary)		Filing Date: 9/1/06 PCT Filed: 3/4/05	Group:

#### U.S. PATENT DOCUMENTS

Examiner Initial	Document Number												Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name
	US 2 0 0 5 0 0 0 4 8 7 6												A1	01/05	Pou et al
	US 2 0 0 3 0 0 9 7 2 9 9												A1	05/03	O'Kane et al
	US 2 0 0 3 0 0 3 3 2 1 4												A1	02/03	Mikkelsen et al
	US 2 0 0 4 0 1 2 8 5 1 4												A1	1/7/04	Rhoads
	US 2 0 0 3 0 1 0 5 7 1 8												A1	5/6/03	Hurtado et al
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#### FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number				Translation	
	Date	Country	Yes	No		

#### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			International Search Report and Written Opinion for International Application Number PCT US05/07102, dated 04 March 2005 (11 pages)			

EXAMINER	/Freda Nelson/	DATE CONSIDERED	08/19/2009
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

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